

AML & Regulatory Compliance Services

Casinos
iGaming
Sports Betting
FinTech
Money Services
Payments



i3 Integrity

Building trust for superior results.





BENJAMIN FLOYD

Founder & CEO

A financial crimes and regulatory compliance professional with over 20 years of experience designing and managing global compliance programs for Fortune 500 companies, including Caesars Entertainment, Walmart and T. Rowe Price. Ben has experience in more than 30 countries on 5 continents.

As an investor in privately held companies and Board member for both non-profit and for-profit entities, Ben has developed an entrepreneurial mindset and applies this in his approach to risk management.



Our Services

Compliance Program Design & Implementation

- *Policy and procedure design*
- *Internal controls*
- *Risk assessment*
- *Responsible gaming*
- *Vendor identification & selection*
- *Vendor due diligence*
- *AML/regulatory project management*

Ongoing Compliance Program Execution and Management

- *Compliance Officer support*
- *Transaction monitoring*
- *Regulatory reporting*
- *KYC & customer due diligence*
- *Board & executive training*
- *Enterprise AML training*



Our Services

Compliance Program Testing & Audit

- *Risk assessment or health check*
- *Compliance program independent audit*
- *Transaction lookbacks*
- *Voluntary and mandatory compliance remediation projects*

Examination & Regulator Support

- *Exam and audit preparation*
- *Assistance responding to regulatory examination findings or inquiries*
- *Action plan preparation & execution*



Contact Us

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